

**Dear registrar of securities Please register the following securities transfer :**

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**Transferor**

■ Name of securities transferor \_\_\_\_\_  
 phone no. \_\_\_\_\_ email address \_\_\_\_\_

The transferor must be able to show the intention to transfer the securities to the registrar. If the transferor cannot express such intention, the registrar may ask the transferor to provide additional documents to confirm his or her ability to show intent, such as a medical certificate. It is to ensure that the transferor is fully conscious.  
 (The medical certificate must not be more than 1 month from the date of issue.)

**Transferee**

■ Name of securities transferee \_\_\_\_\_  
 national I.D. card/passport/company registration no. \_\_\_\_\_ nationality \_\_\_\_\_  
 occupation \_\_\_\_\_ \*mailing address \_\_\_\_\_  
 postal code \_\_\_\_\_ home phone \_\_\_\_\_ office phone \_\_\_\_\_  
 mobile phone \_\_\_\_\_ email address \_\_\_\_\_

**Securities transfer**

■ Securities of company or mutual fund \_\_\_\_\_  
 securities certificate no. \_\_\_\_\_  
 \_\_\_\_\_ for a total of \_\_\_\_\_ shares/units

■ Number of transferred securities \_\_\_\_\_ shares/units  
 amount in letters \_\_\_\_\_ shares/units

■ I ask the registrar to ☐ certify the transfer with a rubber stamp on the original certificate ☐ issue a new share certificate  
☐ issue new share certificates, the total should be split as follows:  
 Share certificate per copy \_\_\_\_\_ shares/units amount \_\_\_\_\_ number  
 Share certificate per copy \_\_\_\_\_ shares/units amount \_\_\_\_\_ number

■ Please deliver the new share certificate ☐ to the issuing company, to be picked up there. ☐ by registered mail

For unit trusts, would you wish the registrar to deduct withholding tax? ☐ Yes, withholding tax is deducted ☐ No, withholding tax is not deducted

\*If you are the first time securities holder, the above mailing address will be the main and only address for sending proxy form, the meeting invitation and related document.

I/We hereby certify that

1. I/We received a bona fide and legitimate securities. Should the Venture Incorporation Public Company Limited ("VI") incur any direct or indirect damage, obligation or expense due to proceeding with my request as given above, I/we shall be fully responsible and shall unconditionally compensate VI in full.
2. I/We hereby confirm that the information given above are correct and in accordance with instruction I/we have provided. In case that transferred securities are not complied with income tax exemption in any cases, including selling securities outside of the Stock Exchange of Thailand, I/We acknowledge that there may be a tax liability arising out of such action pursuant to the regulations of the Revenue Department of Thailand. In addition, I/We acknowledge that VI may disclose any information appear in this document to the Revenue Department of Thailand or any government agencies that have the authority to request such information.

## Application for Registration of securities ownership transfer

3. I/We have thoroughly read all the details specified in the privacy notice of the Venture Incorporation Public Company Limited ("VI Group") (<https://www.ventureinc.co.th/th/privacy-notice>) and acknowledged that my personal data, and any third party's personal data I have provided to VI (if any) will be processed and protected under such privacy notice. In this regard, I/we hereby confirm and represent that I/we have procured such third party to read all the details specified in such privacy notice and have duly obtained a proper and lawful consent from such third party to provide the personal data of the third party to VI.

In addition, I hereby acknowledge that in case of any special action (such as where fingerprint is used instead of signature) which additional information that may contain sensitive personal data is required to be submitted to VI and such additional information is necessary for the provision of VI service, not providing such additional information may render VI to be unable to provide VI service to me/us. Hence, by signing this application, I/we agree and consent that such sensitive personal data to be processed by VI in connection with the purpose of the provision of VI service.

If the information appearing in VI's central registration records is not identical with that given above, I/we hereby agree that the registrar may use the information appearing in the central registration as being correct for the securities holder for this company. If there is no information in VI's central registration records, I/we hereby agree that the registrar may use the information above until I/we request to amend any information in the future.

Signature \_\_\_\_\_ Transferee

(\_\_\_\_\_)

**A witness is necessary, if a new certificate is required and attached a copy the identification document.**

Signature \_\_\_\_\_ Witness

(\_\_\_\_\_)

**(If a proxy has been assigned, please sign as both the transferee and the grantor)**

I/We Hereby authorize \_\_\_\_\_ as my/our representative, with full authority

☐ to file this application ☐ to file this application and receive new certificate.

Stamp Duty  
THB 10

Signature \_\_\_\_\_ Grantor

(\_\_\_\_\_)

Signature \_\_\_\_\_ Grantee

(\_\_\_\_\_)

Phone no. \_\_\_\_\_

### **For official use only**

Date \_\_\_\_\_ Transaction no. \_\_\_\_\_ Checker \_\_\_\_\_

**I have already checked all original identification documents.** Signature \_\_\_\_\_ Officer

## Documents to be submitted for registration of securities ownership transfer

1. Application for registration of securities ownership transfer signed by the transferee.
2. The original share certificate(s) which is/are endorsed by transferor and transferee.
3. A copy of the witness's identification document, certified as true and correct copy of the original document by the document owner.
4. Identification documents of the transferor, the transferee and the grantee (If any), according to the type of person as specified in the below table. In case of other individuals, please contact SET Contact Center as shown at the bottom of the form. or [http://www.ventureinc.co.th/investor-relations/download\\_person\\_juristic.pdf](http://www.ventureinc.co.th/investor-relations/download_person_juristic.pdf)
5. Other type of securities transfer, please contact SET Contact Center or [https://www.ventureinc.co.th/investor-relations/download\\_Registration of Securities Ownership Transfer.pdf](https://www.ventureinc.co.th/investor-relations/download_Registration of Securities Ownership Transfer.pdf)
6. Copy of identification documents in the case of general securities ownership transfer submitted to VI may contain sensitive personal data which is not necessary for the provision of VI service, and VI has no intention of collecting such sensitive personal data.

As a result, the document owners may proceed to cross out any part in order to cover up any sensitive personal data before submitting the identification documents containing such sensitive personal data to VI. In case that no action is taken to cover up such sensitive personal data, VI shall deem that you have given your consent to VI to the collection of such sensitive personal data.

Type of person	Identification Documents
<b>Individual Person - Thai Nationality</b>	<ol style="list-style-type: none"> <li>1. In case the transferor <u>and</u> the transferee contact VI personally, the original Thai national identification card (Thai ID Card) of the transferor <u>and</u> the transferee must be presented.</li> <li>2. In case the transferor does not contact VI personally, the transferor appointed contact person must present <u>the original Thai ID Card of the transferor and its copy</u> which has been certified as true and correct copy of the original document by the document owner.</li> <li>3. In case the transferee does not contact VI personally, the transferee must authorize a person to act on his/her behalf, and such person must present <u>the original Thai ID Cards of the transferee and its copy</u> which has been certified as true and correct copy of the original document by the document owner.  In case the transferrer does not personally contact VI, the appointed contact person must present the original transferor's Thai ID Card <u>and</u> its copy which has been certified as true and correct copy of the original document by the document owner.</li> <li>4. In case filing this application via registered mail, please attach, <ol style="list-style-type: none"> <li>1) Copies of Thai ID Card of the transferor <u>and</u> the transferee which has been certified as true and correct copy of the original document by the document owner; <b>and</b></li> <li>2) The original of the certification document issued by the sub-district or district office, identifying to use those certified true copies instead of the original Thai ID Card (issued not more than 90 days before the date of submission as part of this application), <u>or</u> a copy of police report stating the transferor's intention to transfer his/her securities ownership.</li> </ol> </li> </ol>
<b>Individual Person - Other Nationalities</b>	<ol style="list-style-type: none"> <li>1. In case the transferor <u>and</u> the transferee contact VI personally, the original alien identification card or passport of the transferor <u>and</u> the transferee must be presented.</li> <li>2. In case the transferor does not contact VI personally, the transferor appointed contact person must present <u>the original alien identification card or passport and its copy</u> which has been certified as true and correct copy of the original document by the document owner.</li> <li>3. In case the transferee does not contact VI personally, the transferee must authorize other person to act on his/her behalf, and such person must present <u>the original alien identification card or passport of the transferee and its copy</u> which has been certified as true and correct copy of the original document by the document owner.</li> </ol>

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## Documents to be submitted for registration of securities ownership transfer

Type of person	Identification Documents
	<p>* If the original passport cannot be presented or this application is being submitted by registered mail,</p> <ul style="list-style-type: none"> <li>- The copy of the passport must be notarized by a notary public, and such notarization must be certified by the Thai embassy or consulate.</li> <li>◆ Such certification must be issued not more than 1 year before the date of submission as part of this application.</li> </ul> <p>* If the original signatory's alien identity card cannot be presented or this application is being submitted by registered mail,</p> <ul style="list-style-type: none"> <li>- Certificate of civil registration (issued not more than 90 days before the date of submission as part of this application)</li> </ul>
<b>Juristic Person</b> <b>- Thai Nationality</b>	<ol style="list-style-type: none"> <li>1. A copy of the juristic person registration certificate issued by the Ministry of Commerce issued not more than 1 year before the date of submission as part of this application which has been certified as true and correct copy of the original document by the authorized directors.</li> <li>2. A copy of the Thai ID Card/alien identity card/passport of each authorized director who signs on behalf of the juristic person which has been certified as true and correct copy of the original document by the document owner.</li> </ol>
<b>Juristic Person</b> <b>- Other Nationalities</b>	<ol style="list-style-type: none"> <li>1. A copy of the juristic person registration certificate issued by the regulating government agency in the country where such juristic person is domiciled.</li> <li>2. A copy of the company's affidavit enumerating its directors authorized to bind the company and conditions relating thereto, showing the juristic person's headquarters location and authority of the signatory. This affidavit must be issued by an authorized official of the juristic person or the governmental agency in the country where such juristic person is domiciled.</li> <li>3. A copy of the Thai ID Card/alien identity card/passport of each authorized director who signs on behalf of the juristic person which has been certified as true and correct copy of the original document by the document owner.</li> </ol> <p><u>All documents for "Other Nationalities" above, must have been:</u></p> <ol style="list-style-type: none"> <li>(1) notarized by the notary public or certified by any competent authority in the country where such documents were prepared or certified for the certification of the signature of the person who prepared or certified such documents.</li> <li>(2) certified by the Thai Embassy or Thai Consulate in the country where such documents were prepared or certified for the notarization or certification performed by the public notary or such competent authority under (1).</li> </ol> <ul style="list-style-type: none"> <li>■ The certification must be issued not more than 1 year before the date of submission as part of this application.</li> <li>■ The documents prepared in any other foreign languages other than English must be translated into English.</li> </ul>

Remark : 1. Sensitive Data means the information which can be considered as the personal data as prescribed in section 26 of the PDPA, for instance, religious belief, blood type, etc.

2. VI will consider the above documents when receiving the complete documents and clear information such as the copy of identification documents or signature.

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